

#2
a17
2/14/02

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Senaka Balasuriya

EXAMINER:

SERIAL NUMBER:

GROUP ART UNIT:

FILING DATE: Dec. 29, 2001

ATTY. DOCKET NO. 33692.01.0051

Title: **SYSTEM AND METHOD FOR MULTI-LEVEL DISTRIBUTED
SPEECH RECOGNITION**

December 29, 2001

Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Information Disclosure Statement
In Accordance with 37 CFR §§ 1.97 and 1.98

Pursuant to 37 CFR §§ 1.97 and 1.98, Applicant hereby respectfully submits
the following statement consisting of:

- I. A list of documents
- II. General remarks.

A copy of each listed document is enclosed herewith along with form PTO
1449.

I. U.S. Patents

- 1. U.S. Patent No. 6,292,781B1, issued Sept. 18, 2001 (Urs et al.)
- 2. U.S. Patent No. 6,185,535B1, issued Feb. 6, 2001 (Hedin et al.)
- 3. U.S. Patent No. 6,119,087 issued Sept. 12, 2000 (Kuhn et al.)
- 4. U.S. Patent No. 5,956,683, issued Sept. 21, 1999 (Jacobs et al.)

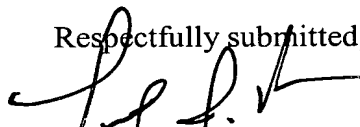
II. DOCUMENTS

- 5. Maes, Stéphane H., "Multi-modal Web IBM Position," W3C/WAP
Workshop, IBM Human Language Technologies, pp. 1-9.

II. GENERAL REMARKS

The submission of the above documents is not an admission that the information is prior art, analogous, enabling or otherwise material. It is respectfully requested that the above listed documents be considered and made of record in the present application.

Respectfully submitted,



Timothy J. Bechen
Reg. No. 48,126

Vedder Price Kaufman & Kammholz
222 N. LaSalle Street, Suite 2600
Chicago, IL 60601
(312) 609-7870
Facsimile: (312) 609-5005